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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q77928

Hiroyuki ISHIDA

Appln. No.: 10/698,939

Group Art Unit: 2875

Confirmation No.: 5053

Examiner: Y My Quach Lee

Filed: November 3, 2003

For: VEHICULAR HEADLAMP EMPLOYING SEMICONDUCTOR LIGHT SOURCE

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form, and herein, and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1) US 2001/0019486, published September 6, 2001
- Japanese Patent Publication No. 2001-266620, published September 28, 2001;
 Abstract submitted herewith;
- 3) European Patent Publication No. 1 270 324 A2, published June 10, 2002;
- Abstract of Japanese Patent Publication No. 2003-031007, published
 January 31, 2003;
- 5) PCT Publication No. 01/01038 A1, published January 4, 2001; and

INFORMATION DISCLOSURE STATEMENT

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6) United Kingdom Patent Publication No. 521268, published May 16, 1940.

One copy of each of the listed documents (except US documents) is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a December 9, 2005 Communication from the European Patent Office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/698,939

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

Timothy P. Cremen

Registration No. 50,855

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WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: January 31, 2006

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Substitute for Form 1449 A & B/PTO		Complete if Known			
PE PETER TOTAL 1449 A & B/F10		Application Number	10/698,939		
NFORMATION DIS	CLOSURE	Confirmation Number	5053		
	PPLICANT	Filing Date	November 03, 2003		
JAN 3 1 2006 STATEMENT BY A		First Named Inventor	Hiroyuki ISHIDA		
(use as many sheets as	necessary)	Art Unit	2875		
	•	Examiner Name	Y My Quach Lee		
Sheet 1	of 1	Attorney Docket Number	Q77928		

U.S. PATENT DOCUMENTS					
Ewawinan	niner Cite No.1 Number Kind Code2 (if known) Publication Date MM-DD-YYYY	Document Number		Publication Data	
Initials*		Name of Patentee or Applicant of Cited Document			
	-	US 2001/0019486	Al	09-06-2001	Thominet
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Translation ⁶	
Initials*	ials* No. Country Code Number Kind Code MM-DD-YYYY Code (if known)	Applicant of Cited Document					
		JР	2001-266620		09/28/2001	Robert Bosch GMBH	Abstract
		EP	1 270 324	A2	06/10/2002	Daimler Chrysler AG	
		GB	521,268		05/16/1940	Aktiebolagt Timbro	
		JP	2003031007		01/31/2003	Stanley Electric Co. Ltd.	Abstract
		WO	01/01038	Al	01/04/2001	Koninklijke Philips Electronics N.V.	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, Initials* No. Initials in Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, initials in Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, initials in Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, initials in Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, initials initials in Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, initials in		Translation ⁶	
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Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.



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VEHICULAR HEADLAMP EMPLOYING SEMICONDUCTOR LIGHT SOURCE For:

STATEMENT UNDER 37 CFR § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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